Attorney's Docket No.: 13445-026001 / OG16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vladimir Fuflyigin Art Unit: 1762

Serial No.: 10/720,453 Examiner: David P. Turocy

Filed: November 24, 2003 Conf. No.: 4085

Title : DIELECTRIC WAVEGUIDE AND METHOD OF MAKING THE SAME

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

JUN 1 6 2006

## INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

The following application is related to the present application in that it claims the benefit of, or priority to, one or more common applications:

1. U.S. Patent Application Serial No. 10/720,606, filed on November 24, 2003, now published as U.S. Publication No. US 2004-0141702 A1.

We invite the Examiner to review the prosecution file for this related application because they may disclose and claim similar subject matter. We presume that the Examiner has access to these files; however, we are happy to provide such files upon request.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

06/16/2006 CCHAU1	0000074 10720453	
02 FC:1806	180.00 OP	June 14, 2006  Date of Deposit
		M. Floret
		Signature

Mary K. Florczak
Typed or Printed Name of Person Signing Certificate

Applicant: Vladimir Fuflyigin

Serial No.: 10/720,453

Filed: November 24, 2003

Page : 2 of 2

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 13445-026001 / OG16

Date: 6/14/2006

Chris C. Bowley Reg. No. 55,016

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

21356621.doc

(Modified)
U.S. Department of Patent and Trade
Uniformation Disclosure Statement JUN 1 6 2006

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13445-026001

Application No. 10/720,453

Applicant

Vladimir Fuflyigin

Filing Date

Group Art Unit November 24, 2003

1762

(Use several sheets if necessary)

by Applicant

**U.S. Patent Documents** Filing Date Document Publication Examiner Desig. Number Date Patentee Class **Subclass** If Appropriate Initial ID Tran et al. AA H1754 10/1998 Klein AB3,850,604 11/1974 AC 3,938,974 02/1976 Macedo et al. AD 4,212,663 07/1980 Aslami, Mohd A. 4,324,803 04/1982 Bergmann, et al. AE 07/1982 Aggarwal, et al. ΑF 4,339,173 01/18/83 Usui et al. AG 4,410,345 ΑH 09/1986 4,612,294 Katsuyama, et al. ΑI 4,728,350 03/1988 Cocito, Giuseppe AJ 4,730,896 03/1988 Katsuyama, et al. ΑK 4,733,940 03/1988 Broer et al. Fine AL4,913,518 04/1990 06/1990 Krashkevich, et al. AM 4,932,752 05/1991 Cole AN 5,015,844 Sandhu et al. 09/1994 AO 5,344,792 01/1996 Bruck, et al. AP 5,483,614 03/1997 Francis et al. 5,609,660 AQ 05/1997 Bishop, et al. 5,629,953 AR 06/1997 Vengsarkar, et al. AS 5,641,956 08/1997 Whitehead AΤ 5,661,839 Scalora, et al. 04/1998 AU 5,740,287 09/1998 DiGiovanni et al. ΑV 5,802,236 09/1998 Allison, et al. AW 5,812,729 09/1998 Hubbard, et al. AX 5,814,367 AY 5,949,935 09/1999 Schaafsma, et al. ΑZ 5,991,486 11/1999 Braglia AAA 6,058,127 05/2000 Joannopoulos, et al.

Examiner	Signature
LAGITIMICI	Oigillatai C

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13445-026001

Application No. 10/720,453

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant Vladimir Fuflyigin

Filing Date

**U.S. Patent Documents** 

Group Art Unit

November 24, 2003 1762

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	6,075,915	06/2000	Koops et al.			
	ACC	6,108,474	08/2000	Eggleton, et al.			
-	ADD	6,115,526	09/2000	Morse			
	AEE	6,128,429	10/3/2000	Cole et al.			
	AFF	6,130,780	10/10/2000	Joannopoulos et al.			
	AGG	6,175,671	01/2001	Roberts			
	АНН	6,195,483	02/2001	Moon, et al.			
	AII	6,201,916	03/2001	Eggleton et al.			
	AJJ	6,260,388	07/2001	Borrelli, et al.			
	AKK	6,301,421	10/2001	Wickham, et al.			
	ALL	6,334,017	12/2001	West			
	AMM	6,334,019	12/2001	Birks et al.			
	ANN	6,380,551	04/2002	Abe, et al.			
	AOO	6,389,197	05/2002	Iltchenko, et al.			
	APP	6,404,966	06/2002	Kawanishi et al.			
	AQQ	6,413,891	07/2002	Cho et al.			
•	ARR	6,504,645	01/2003	Lenz et al.			
	ASS	6,728,439	4/27/2004	Weisberg et al.			
	ATT	6,788,864	9/7/2004	Ahmad et al.			
	AUU	6,801,698	10/5/2004	King et al.			
	AVV	6,816,243	11/9/2004	Shurgalin et al.			
	AWW	6,879,386	4/12/2005	Shurgalin et al.			
	AXX	6,895,154	5/17/2005	Johnson et al.			
· · · · · ·	AYY	6,898,359	5/24/2005	Soljacic et al.			
	AZZ	6,903,873	6/7/2005	Joannopoulos et al.			
	AAAA	2001/0026667	10/2001	Kawanishi, et al.			
	ABBB	2002/0039046	04/2002	Lipson, et al.			

**Examiner Signature** 

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13445-026001

Application No. 10/720,453

## **Information Disclosure Statement** by Applicant

(Use several sheets if necessary)

Vladimir Fuflyigin Filing Date

Applicant

November 24, 2003

Group Art Unit

1762

(37 CFR §1.98(b))

		-	U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	2003/0044158	03/2003	King et al.			
	ADDD	2003/0044159	3/6/2003	Anderson et al.		-	·
	AEEE	2004/0013379	1/22/2004	Johnson et al.			
-	AFFF	2004/0137168	7/15/2004	Fuflyigin			
	AGGG	2004/0141702	7/22/2004	Fuflyigin et al.			
	АННН	2004/0223715	11/11/2004	Benoit et al.			
	AIII	2005/0226579	10/13/2005	Fink et al.			
	AJJJ	2005/0259933	11/24/2005	Temelkuran et al.			
	AKKK	2005/0259934	1124/2005	Temelkuran et al.			
	ALLL	2005/0259942	11/24/2005	Temelkuran et al.			
	AMMM	2005/0259944	11/24/2005	Anderson et al.			
	ANNN	2005/0271340	12/8/2005	Weisberg et al.			

	Foreig	n Patent Docu	ıments or P	ublished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID D	Number	Date	Patent Office	Class	Subclass	Yes	- No
,	A000	0955273	11/1999	EP				
	APPP	WO 200284345	10/2002	WIPO				
	AQQQ	WO 200261467	08/2002	WIPO				
	ARRR	2,288,469	10/1995	Great Britain				
	ASSS	0 195 630	09/1986	Europe				
	ATTT	0 426 203	05/1991	Europe				
	AUUU	2000-035521	02/2000	Japan				
	AVVV	2001-051244	02/2001	Japan				
	AWWW	WO 94/09393	04/1994	WIPO				
·····	AXXX	WO 94/16345	07/1994	WIPO ·				
	AYYY	WO 97/01774	01/1997	WIPO				
	AZZZ	WO 00/22466	04/2000	WIPO				_

Evaminer	Signature
Examine	Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13445-026001	Application No. 10/720,453
	losure Statement plicant	Applicant Vladimir Fuflyigin	
(Use several shot) (37 CFR §1.98(b))	eets if necessary)	Filing Date November 24, 2003	Group Art Unit 1762

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID d	Number	Date	Patent Office	Class	Subclass	Yes	No
	AAAAA	WO 00/46287	08/2000	WIPO				_

(	Other Do	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	ABBBB	A. Asseh, et al., "10cm Yb <sup>3+</sup> DFB fibre laser with permanent phase shifted grating", Electron. Lett., 31 (12): 969 (1995).
	ACCCC	A. S. Oliveira et al., "Frequency upconversion in Er <sup>3</sup> +/Yb <sup>3+</sup> -codoped chalcogenide glass," Appl. Phys. Lett, 72 (7): 753-755 (1998).
	ADDDD	IEEE Photon. Technol. Lett., 13 (1): 70-72 (2001).
	AEEEE	Andrea Melloni et al., "All-optical switching in phase-shifted fiber Bragg grating," IEEE Photonics Technology Letters, 12 (1): 42-44, January 2000.
	AFFFF	B. E. Little et al., "Microring resonator arrays for VLSI photonics", IEEE Photon. Technol. Lett., 12 (3): 323-325 (2000).
	AGGGG	Berger V. "From photonic band gaps to refractive index engineering." Optical Materials, 11:2-3, Jan. 1999, pp. 131-142.
	АНННН	B. J. Eggleton et al., "All-optical switching in long-period fiber gratings," Optics Letters, 22 (12): 883-885, June 15, 1997.
	AIIII	B. J. Eggleton et al., "Grating resonance in air-silica microstructured optical fibers", Opt. Lett., 24 (21): 1460 (1999).
	AJJJJ	B. Malo, et al., "Photosensitivity in phosphorous-doped silica glass and optical waveguides," Appl. Phys. Lett 65 (4): 394 (1994).
	AKKKK	Chang et al. "Vector Normal Modes on Two-Core Optical Fibers – Part I: The Normalmode solutions." Journal of Lightwave Technology, 15:7, Jul. 1997, pp. 1213-1223.
	ALLLL	D. Furniss et al., "A novel approach for drawing optical fibers from disparate core/clad. glasses," J Non-Cryst. Sol. 213-214: 141-146 (1997).
	AMMMM	Patent Disclosure, (2001).
	ANNNN	E. Brinkmeyer, et al., "Fibre Bragg reflector for mode selection and line-narrowing of injection lasers", Electron. Lett., 22 (3): 134 (1986).
	A0000	Feigel A. et al. "Chalcogenide glass-based three-dimensional photonic crystals." Applied Physics Letters, 77:20, pp. 3221-3223, November 13, 2000.
	APPPP	Fink et al. "Guiding optical Light in Air Using an All-Dielectric Structure;" Journal of Lightwave Technology, Vol. 17, no. 11, November 1999
	AQQQQ	G. Meltz, et al., "Formation of Bragg gratings in optical fibers by a transverse holographic method", Opt.Lett., 14 (15): 823 (1989).
	ARRRR	G. S. He et al., "Efficient amplification of a broad-band optical signal through stimulated Kerr scattering in a CS2 liquid-core fiber system," IEEE J. Quantum Electron., 28 (1): 323-329 (1992).
	ASSSS	H.A. Haus, et al., "Antisymmetric taper of distributed feedback lasers", IEEE J. Quantum Electron., QE-12 (9): 532 (1976).

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13445-026001	Application No. 10/720,453	
	closure Statement	Applicant Vladimir Fuflyigin		
(Use several sh	neets if necessary)	Filing Date November 24, 2003	Group Art Unit 1762	

Examiner	Desig.	ocuments (include Author, Title, Date, and Place of Publication)		
Initial	ID	Document		
	ATTTT	I. Gannot, et al., "Current Status of Fexible Waveguides for IR Laser Radiation Transmission", IEEE J. Sel. Topics in Quantum Electr., IEEE Service Center, 2 (4): 880-888 (Dec 1996).		
	AUUUU	J. Fick et al., "High photoluminescence in erbium-doped chalcogenide thin films," J. Non-cyrstalling Solids, 272 (2-3): 200-208 (2000).		
	AVVVV	J. Kobelke et al., "Chalcogenide glass single mode fiberspreparation and properties," J. Non-Cyrstalline Solids, 256-7: 226-231 (1999).		
	AWWWW	J. M. Harbold et al., "Highly nonlinear As-S-Se glasses for all-optical switching," Optics Lett., 27 (2): 119-121 (2002).		
	AXXXX	J. Marchionda et al., "Advanced rod in tube techniques for fluoride fiber fabrication," Ceramics Transactions, Solid-State Optical Materials, eds. Allan J. Bruce and B.V. Hiremath, 28: 587-596 (1992).		
	AYYYY	Johnson et al., "Low-loss asymptotically single-mode propagation in large-core OmniGuide fibers," OPTICS EXPRESS, Vol. 9, No. 13, pages 748-779, December 17, 2001.		
	AZZZZ	J. S. Foresi et al., "Photonic-bandgap microcavities in optical waveguides," Nature, 390: 143-145 (November 13, 1997).		
	ААААА	Jia Jiang et al., "Fluorophosphate cladding glasses for fluoride glass fibers," J Non-Cryst. Sol., 213 and 214: 11-15 (1997).		
	ABBBBB	J-X Cai, et al., "Simultaneous tunable dispersion compensation of many WDM channels using a sampled nonlinearly chirped fiber Bragg grating", IEEE Photon. Tech. Lett., 11 (11): 1455 (1999).		
	ACCCCC	K. O. Hill, et al., "Photosensitivity in optical fiber waveguides: Application to reflection filter fabrication", Appl. Phys. Lett., 32 (10): 647 (1978).		
	ADDDDD	K. O. Hill, et al., "Efficient mode conversion in telecommunication fibre using externally written gratings", Electron. Lett., 26 (16): 1270 (1990).		
	AEEEEE	L. F. Stokes, et al., "All-single-mode fiber resonator", Opt. Lett., 7 (6): 288 (1982).		
	AFFFFF	Louis Poirier et al., "Nonlinear coaxial photonic crystal," Applied Physics Letters, 78 (18): 2626-2628, April 30, 2001.		
	AGGGGG	Massadegh R. et al. "Fabrication of single-mode chalcogenide optial fiber." Journal of Lightwave Technology, 16:2, pp. 214-216, February 1998.		
	АНННН			
	AIIII	M. Miyagi, et al., "Fabrication of germanium-coated nickel hollow waveguides for infrared transmission", Appl. Phys. Lett., 43 (5): 430 (1983).		
<del>, , , , , , , , , , , , , , , , , , , </del>	AJJJJJ	Monro, T.M. et al. "Chalcogenide Holey Fibres." Electronics Letters, 36:24, pp. 1998-2000, November 23, 2000.		
	AKKKKK	M. Skorobogatiy et al., Optics Express, 10, p. 1227 (2002)		
	ALLLLL	M.W. Moore et al., "Sputtering of Chalcogenide Coatings on to Fluoride Glass," Novel Glasses and Processes, pp 193-197.		
	AMMMMI	N. Croitoru, et al., "Characterization of hollow fibers for the transmission of infrared radiation", Appl. Opt., 29 (12): 1805 (1990).		
<del></del>	ANNNN	Nishii, J. et al. "Chalcogenide glass fiber with a core-cladding structure." Applied Optics, 28: 23, pp. 5122-5127, December 1, 1989.		
	A00000	Piere R. Villeneuve et al., "Single-mode waveguide microcavity for fast optical switching," Opt. Lett., 21 (24): 2017-2019, December 15, 1996.		
xaminer Sigi	nature	Date Considered		

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13445-026001	Application No. 10/720,453
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Vladimir Fuflyigin	
		Filing Date November 24, 2003	Group Art Unit 1762

Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
	APPPPP	P. Yeh et al., J. Opt. Soc. Am., 68, p. 1196 (1978)	
	AQQQQQ	R. E. Smith et al., "Reduced coupling loss using a tapered-rib adiabatic-following fiber coupler," IEEE Photon. Technol. Lett., 8 (8): 1052-1054 (1996).	
	ARRRRR	R.F. Cregan et al., Science 285, p. 1537-1539, (1999)	
	ASSSSS	R. Nubling and J. Harrington "Hollow-waveguide delivery systems for high-power, industrial CO <sub>2</sub> lasers," Applied Optics, 34, No. 3, pp. 372-380 (1996)	
	ATTTTT	R. U. Ahmad et al., "Ultracompact corner-mirror and T-branches in silicon-on-insulator," IEEE Photon. Technol. Lett., 14 (1): 65-76 (January 2002).	
	AUUUUU	Sanghera, J.S. et al. "Development and infrared applications of chalcogenide class optial fibers." Fiber and Integrated Optics, 19:3, pp. 251-274, March 1, 2000.	
	AVVVVV	Sanghera, J.S. et al. "Fabrication of long lengths of low-loss IR transmitting AS40S (60-X) sex glass fibers." Journal of Lightwave Technology, 14:5, pp. 743-748, May 1, 1996.	
	wwww	S. Coen et al., "White-light supercontinuum generation with 60-ps pump pulses in a photonic crystal fiber," Opt. Lett., 26 (17): 1356-1358 (2001).	
	AXXXXX	S. Ramachandran and S. G. Bishop, "Low loss photoinduced waveguides in rapid thermally annealed films of chalcogenide glasses," Appl. Phys. Lett., 74 (1): 13-15 (1999).	
	AYYYYY	structures, J.Opt.Soc.Am. B, 12 (4): 0/1-080, April 1993.	
	AZZZZZ	T. Cordinal et al. "Non-linear entired properties of chalcogenide glasses in the system As-S-Se." I	
AAAA		T.A. Birks et al., "Dispersion Compensation Using Single-Material Fibers," IEEE Photonics Technology Letters, 11 (6): 674-676 (1999).	
	ABBBBBI	Y. Fink et al., "Block copolymers as photonic band gap materials," J. Lightwave Tech., 17 (11): 1963-1969, (JLT IEEE-special issue on photonic crystals-invited paper) (1999).	
, , , , ,	ACCCCC	chemical vapor deposition, J. Opt. Soc. Amer., 14 (b): 1233 (1997).	
	ADDDDDD	Y. Matsuura, et al., "Optical properties of small-bore hollow glass waveguides", J. Opt. Soc. Amer., 34 (30): 6842-6847 (1995)	
	AEEEEE	Y. Yamamoto et al., Phys. Today, 46: 66-73 (1993).	
	AFFFFF	Yoel Fink et al., "A dielectric omnidirectional reflector," Science, 282: 1679-1682 (1998).	
	AGGGGG	Yong Xu et al., "Asymptotic Analysis of Bragg Fiber," Optics Letters, 25 (24): 1756-1758 (2000).	
	АНННН		

Examiner Signature	Date Considered	
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with		

next communication to applicant.